

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 01st April, 2022 to 31st March 2023

ISIN: INE120A01034

SYMBOL: CARBORUNIV

We have examined all relevant books, registers, forms, files and other documents and papers relating to **CARBORUNDUM UNIVERSAL LIMITED (CIN NO. L29224TN1954PLC000318)** (hereinafter referred to as 'the Company'), having its Registered Office situated at "Parry House" 43, Moore Street, Chennai - 600001 maintained by its Registrar and Share Transfer Agent ('RTA') viz., **KFin Technologies Limited** (Formerly known as KFin Technologies Private Limited) having its office situated at Selenium Tower B, Plot 31&32, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500 032 pertaining to the period from **01st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 and the Uniform Listing Agreement entered into by the Company with the **National Stock Exchange of India Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31st March 2023** has not received any request(s) pertaining to Deletion of name, consolidation, renewal and exchange or endorsement of calls/allotment monies.

During the aforementioned period, the Company has received requests for change of name, Issue of duplicate share certificates, transposition, split and transmission of shares and the Letter of Confirmation in lieu of physical share certificate(s) has been delivered to the securities holder(s) /claimant within the stipulated time period from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Company has also complied with SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES



CS R. SRIDHARAN

C.P.No.3239

FCS No.4775

PR NO.657/2020

UIN: S2003TN063400

UDIN: F004775E000119164

Place: Chennai
Date: 17th April, 2023