

17<sup>th</sup> April 2023

To

BSE Limited  
1st Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort  
Mumbai 400 001

Stock Code: 513375  
Through BSE Listing Centre

National Stock Exchange of India Ltd.  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai 400 051

Stock Code: CARBORUNIV-EQ  
Through NEAPS

Dear Sir/Madam,

**Sub: Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed the Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March 2023 issued by M/s. R Sridharan & Associates, Company Secretaries.

We request you to kindly take the same on record.

Thanking you

Yours faithfully  
For **Carborundum Universal Limited**

**REKHA  
SURENDRIRAN**

**Rekha Surendhiran  
Company Secretary**

Digitally signed by REKHA SURENDRIRAN  
DN: c=IN, o=Personal,  
2.5.4.20=f01a23ee82f6097c2c6bdc73a57518e3cac483  
27a58d8bea18d7f12b56c72ac, postalCode=600122,  
st=Tamil Nadu,  
serialNumber=09b2551546796137c8aa47ee2a2f90f5cc  
6a9107df203c46c5900ff3c9a322c8, cn=REKHA  
SURENDRIRAN  
Date: 2023.04.17 21:29:32 +05'30'

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from 01<sup>st</sup> April, 2022 to 31<sup>st</sup> March 2023

ISIN: INE120A01034

SYMBOL: CARBORUNIV

We have examined all relevant books, registers, forms, files and other documents and papers relating to **CARBORUNDUM UNIVERSAL LIMITED (CIN NO. L29224TN1954PLC000318)** (hereinafter referred to as 'the Company'), having its Registered Office situated at "Parry House" 43, Moore Street, Chennai - 600001 maintained by its Registrar and Share Transfer Agent ('RTA') viz., **KFin Technologies Limited** (Formerly known as KFin Technologies Private Limited) having its office situated at Selenium Tower B, Plot 31&32, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500 032 pertaining to the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 and the Uniform Listing Agreement entered into by the Company with the **National Stock Exchange of India Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31<sup>st</sup> March 2023** has not received any request(s) pertaining to Deletion of name, consolidation, renewal and exchange or endorsement of calls/allotment monies.

During the aforementioned period, the Company has received requests for change of name, Issue of duplicate share certificates, transposition, split and transmission of shares and the Letter of Confirmation in lieu of physical share certificate(s) has been delivered to the securities holder(s) /claimant within the stipulated time period from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Company has also complied with SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES



CS R. SRIDHARAN

C.P.No.3239

FCS No.4775

PR NO.657/2020

UIN: S2003TN063400

UDIN: F004775E000119164

Place: Chennai  
Date: 17<sup>th</sup> April, 2023