

12th April 2024

To

BSE Limited
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort
Mumbai 400 001

Stock Code: 513375
Through BSE Listing Centre

National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai 400 051

Stock Code: CARBORUNIV-EQ
Through NEAPS

Dear Sir/Madam,

Sub: Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed the Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March 2024 issued by M/s. R Sridharan & Associates, Company Secretaries.

We request you to kindly take the same on record.

Thanking you

Yours faithfully
For **Carborundum Universal Limited**

Rekha Surendhiran
Company Secretary

CERTIFICATE IN TERMS OF REGULATION 40 (9, 10 & 11) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.

For the period from 01stApril, 2023 to 31st March 2024

ISIN: INE120A01034	SYMBOL: CARBORUNIV
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We have examined all relevant books, registers, forms, files and other documents and papers relating to **CARBORUNDUM UNIVERSAL LIMITED (CIN NO. L29224TN1954PLC000318)** (hereinafter referred to as 'the Company'), having its Registered Office situated at "Parry House" 43, Moore Street, Chennai - 600001 maintained by its Registrar and Share Transfer Agent (hereinafter referred to as 'RTA') viz., **KFin Technologies Limited** having its office situated at Selenium Tower B, Plot 31&32, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500 032 pertaining to the period from **01st April, 2023 to 31st March, 2024** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended (hereinafter referred to as 'SEBI LODR') and the Uniform Listing Agreement entered into by the Company with the **National Stock Exchange of India Limited**.

The Securities and Exchange Board of India (SEBI) vide its Press Release dated December 3, 2018 and March 27, 2019 respectively and Circular No SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated 7th September, 2020 had fixed 31st March, 2021 as the cut-off date for re-lodgement of transfer deeds. The shares re-lodged for transfer after the said date will be issued only in dematerialized form in the manner prescribed by SEBI from time to time. In addition to the above, SEBI vide its Circular No SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that listed Companies shall henceforth issue securities only in dematerialized form. Accordingly, on the basis of such examination as well as information and explanations furnished to us by the Company/RTA, which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that the Company has in relation to the year ended **31st March 2024** has not received any request(s) pertaining to Transfer, Transposition of securities, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, the Company through its RTA has issued the Letter of Confirmation to shareholders in lieu of physical share certificate (s) in respect of requests received for Deletion of name, Transmission of shares and Issue of Duplicate share certificates and the above mentioned letter has been delivered to the securities holder(s) /claimant within the stipulated time period as stated in the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

For **R. SRIDHARAN & ASSOCIATES**
COMPANY SECRETARIES



CS R. SRIDHARAN
C.P.No.3239
FCS No.4775
PR NO.657/2020
UIN: S2003TN063400
UDIN:F004775F000076462

Place: Chennai
Date: 12th April, 2024